

# CERTIFICATION OF BENEFICIAL OWNERSHIP FORM

See back page for definitions and instructions

CERTFICATION OF BENEFICIAL OWNER(S)								
Name of Legal Entity:								
Address:								
City:				State:	Zip:	Zip:		
Name	e of Person Conducting Business:				Title:			
'								
OWNER(S)								
All Individuals that have a 25% or more equity interest of the legal entity listed above must provide a photo ID such as a driver's license or passport along with the requested information below. If ownership of the legal entity listed above is another company, please provide the photo ID and requested information below of all individuals that have at least a 25% or more equity interest in that company.								
	Name:	Soc. Security #:		D.O.B	: % (	% Ownership:		
Owner 1	Address:	·····	City:			State:	ZIP:	
Ŏ	Driver's License/Passport #:			xp. Date	: Stat	State or Country:		
7	Name:	Soc. Security #:		D.O.B:		% Ownership:		
Owner 2	Address:		City:	<i>r</i> :		State:	ZIP:	
Ó	Driver's License/Passport #:		E	xp. Date	: Stat	State or Country:		
Owner 3	Name:	Soc. Security #:		D.O.B:		% Ownership:		
	Address:		City:			State: ZIP:		
0	Driver's License/Passport #:		Exp. Date:		: Stat	State or Country:		
Owner 4	N			D O D	0.0			
	Name:	Soc. Security #:	-		. % (	Ownership:		
	Address:		City:			State: ZIP:		
	Driver's License/Passport #:		E:	xp. Date	: Stat	State or Country:		
CONTROL PERSON								
Provide the following information for one individual with significant responsibility for managing the legal entity listed above, such as:  • An executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or  • Any other individual who regularly performs similar functions.  (If appropriate, an individual listed under the ownership section above may also be listed in the section below)								
Name:		Soc. Security #: D.O.B:		O.B:	% C	% Ownership:		
Addr	ess:	City:			State:	ZIP:		
Driver's License/Passport #:			Exp. Date:		Stat	State or Country:		
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### What is this form?

The USA Patriot Act was created to aid the government in the fight against crimes to evade financial measures designed to combat terrorism and other national security threats. These laws require all financial institutions to obtain, verify, and record information that identifies each person that opens or conducts business on an account.

### Who has to complete this form?

If you are opening or modifying an account on behalf of a Business, you will be required to provide the appropriate documentation and to certify that this information is true and accurate to the best of your knowledge.

Each time an account is opened or modified for a legal entity, we are required to ask you for identifying information for each individual that will be a signer on the account, has beneficial ownership (25% or more) and one individual that has significant managerial control of the Business.

For purposes of this form, a **legal entity** includes a corporation, limited liability company, or other entity that is created by a filing of a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed in the United States. Legal entity does not include sole proprietorships, unincorporated associations, or natural persons opening accounts on their own behalf.

## What information do I have to provide?

We may request a copy of a driver's license, passport, social security card, or other verification to further validate any parties identity listed on the certification form.

#### What is a Beneficial Owner or Controlling person?

A Beneficial Owner is each individual or natural person who owns, directly or indirectly, 25 percent or more of the equity interests (shares) of the legal entity customer. This could be up to four individuals that need to be identified.

A Controlling person is an individual or natural person with significant responsibility for managing the legal entity customer. This could be the Chief Executive Officer, Chief Operations Officer, Chief Financial Officer, General Partner, President, vice President, Controller, Managing Member, or Treasurer.

It is possible that in some circumstances the same individual might be identified under both sections.